

FACTS II

What's New?

This *FACTS II What's New* document provides general information for reporting in FACTS II, as well as specific information that pertains to the FACTS II Client and FACTS II Client Bulk Applications.

4th Quarter 2004

FACTS II Client Online

Running Edit Report AFTER the Reporting Window Closes

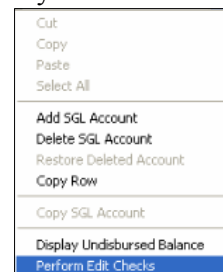
FACTS II Client Online Application has been enhanced to allow users to run the FACTS II accounting edits to view/print the Edit Report *after* the reporting window has closed. The process for running the accounting edits after the window closes is exactly the same as running the edits during the open window.

Note: You **will not** be able to change the status of your TAFS once the reporting window has closed.

How to run the accounting edits after the window closes

1. In the TAFS tree view on the main FACTS II window, click the quarterly submission for which you want to perform accounting edits.
2. Right-click anywhere in the right pane of the window and in the menu that appears, select *Perform Edit Checks*.

The FACTS II Client Online Application will run all of the accounting edits, however the 4th Quarter edits that run during Quarters 1-3 will be informational only.



For more detailed instructions on performing accounting edits refer to **Section 4.4 Editing Quarterly Submissions** in the FACTS II Client Online User's Guide.

Old SF 133 will be Retired From Use Effective 4th Quarter 2004

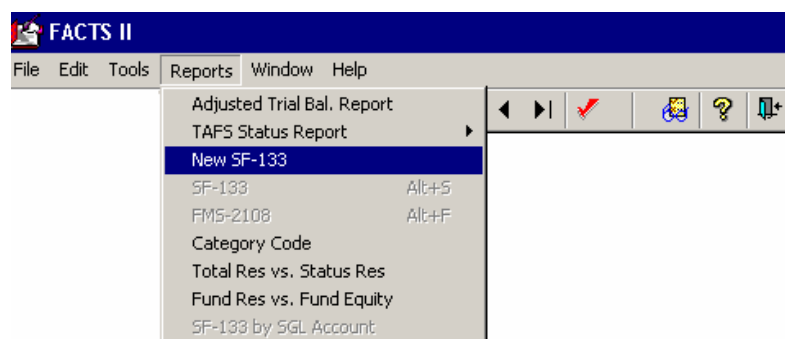
The “Old Style” SF 133, which we refer to as the horizontal SF 133, where as many as 5 fiscal years of financial data are listed horizontally on a page, will be retired effective 4th quarter 2004. The “Old Style” SF 133 has a number of limitations, which have caused a number of problems for system users. For example, listed information at the top of the SF 133, such as Preparer Name, Certifier Name, and Status would be incorrect if different individuals submitted data for any of the various fiscal years which could be listed on the report. However, a more significant failing of the “Old Style” SF 133 is the report’s inability to support reporting by Cohort Year, and generating a report when a Preparer request the report for an accounting period prepared by another Preparer. Based on these facts, the “Old Style” SF 133 will be retired from use, effective 4th quarter 2004. Users will be required to use the “New” SF 133 report.

The “New” SF 133, Report on Budget Execution, was developed and available since 1st Quarter 2003. The report was redesigned to run more efficiently, resulting in faster response times when run. In addition, the presentation of report data was changed to display a single fiscal year of data on a single report, in a vertical presentation. This change improved the readability of the report, and enables the user to run the report for a specific symbol, by fiscal year. In addition, the report can be run for a specific Cohort Year, when applicable.

All users must use the New SF 133 Report beginning 4th Quarter 2004.

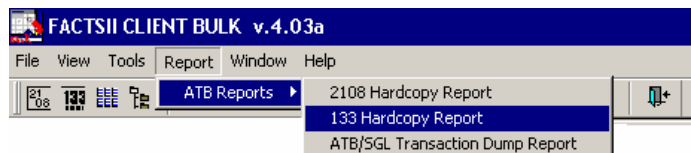
To access the New SF 133 Report through the Client Online Application

1. Select **New SF-133** from the Reports menu located on the Menu Bar. Refer to the FACTS II Client Online User’s Guide for more detailed instructions on running the SF 133 Report.



To access the New SF 133 Report through the Client Bulk Application

1. Select **133 Hardcopy Report** from the Reports menu located on the Menu Bar. Refer to the FACTS II Client Bulk User's Guide for more detailed instructions on running the SF 133 Report.



Coming Soon (1st Qtr. 2005) Client Online Application Accepts Bulk Files

The Client Online application has been enhanced to accept bulk files for batch processing. Full implementation of the enhancement is scheduled for 1st quarter 2005. However, Pilot use of the new functionality is available for 4th quarter 2004.

The features of the New Client Online Bulk File process include:

- Reduced processing time for a bulk file
- User friendly
- Enhanced functionality
 - Submit and save capability
 - On-line data correction capability
 - Report generation capability prior to posting data to the permanent database
 - Easy resubmit process
- Improved accuracy

Note: The current FACTS II Client Bulk System will be unavailable beginning 1st Quarter, 2005. All bulk files will have to be submitted via the enhanced FACTS II Client Online Application.

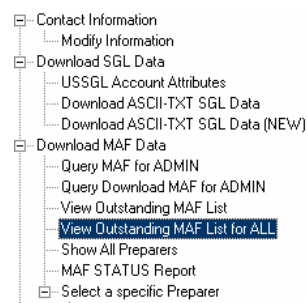
FACTS II Client Bulk

MAF Status Report

The MAF Status Report accessed via the Client Bulk Application has been enhanced. You are now able to view a list of ALL outstanding TAFS (TAFS that have not been reported on) as opposed to only viewing a single preparer's outstanding TAFS. This list includes TAFS that are submitted via the Client Online Application. Please note that you must report TAFS with zero activity as well. If you have any questions regarding reporting TAFS with zero balances please contact your FACTS II Agency Representative. A list of Agency Representatives can be accessed by going to the FACTS II Web site (<http://fms.treas.gov/factsii/index.html>) and clicking "Contacts".


To view the enhanced MAF Status Report

1. A Bulk Submitter is able to view a complete list of his/her preparers' outstanding TAFS (or zero-activity symbol list) by selecting 'View Outstanding MAF List for ALL' from the FACTS II Client Bulk Tree view.



2. The Outstanding MAF Record List will automatically appear in your screen as shown below.

Datetime: 9/1/04 14:08
Bulk Submitter: JDINH

Department of the Treasury
Financial Management Service 

OUTSTANDING MAF RECORDS LIST for Submitter JDINH [2004-09]

MAF Records - Total TAFS count: 17

DR	DT	FY	MA	SA	AS	MS	Record Type 7	Preparer ID	Certifier ID	Bulk NonBulk Ind	Fiscal Year/Month	Pre closing balance	Net-Disb	Chapter code
EFOX / SFAULKNE Outstanding TAFS count: 2														
58	-	-	X	-	0203	-	000-000-0	EFOX	SFAULKNE	Y	2004/09	0		18
58	-	-	X	-	0104	-	000-000-0	EFOX	SFAULKNE	Y	2004/09	0	-1101.15	18
GTEETS / SFAULKNE Outstanding TAFS count: 1														
58	-	-	X	-	4188	-	000-000-0	GTEETS	SFAULKNE	Y	2004/09	0		18
JLEICHT / EGRAMP Outstanding TAFS count: 1														
14	-	47-	X	-	0800	-	001-000-0	JLEICHT	EGRAMP	N	2004/09	584979.19	310785.11	46
KKLEIN / EWOLFGA Outstanding TAFS count: 1														
13	-	-	0405	-	1480	-	000-000-0	KKLEIN	EWOLFGA	N	2004/09	0		10
LBRUNER / JLUNETTA Outstanding TAFS count: 1														
09	-	14-	04	-	1036	-	005-000-0	LBRUNER	JLUNETTA	N	2004/09	0		22
MEBELL / JHARRISO Outstanding TAFS count: 5														
15	-	-	04	-	1220	-	000-000-0	MEBELL	JHARRISO	Y	2004/09	-150.00	150.00	18
70	-	-	X	-	0504	-	000-000-0	MFRF11	JHARRISO	Y	2004/09	380452268.78	194904554.74	18

Restriction of SGL Account 4350 Canceled Authority

For 4th Quarter Reporting, fiscal year accounts with a negative balance as of the fifth fiscal year after the account's availability ends cannot cancel the balance on the FMS 2108. Only Fiscal Year Accounts with zero or positive balance on the September 6653 close the fifth year after the account's obligational availability ends. Any remaining balance is cancelled on the FMS 2108. The September 6653 must reflect a positive or zero balance for the account balances that will be cancelled. This requirement can be found in the TFM Bulletin No. 2004-05 sections 21 and 22.

The following examples illustrate TAFS that cannot cancel during FACTS II 4th Quarter Reporting:

TAFS	Pre-closing Balance in FACTS II
12 99 1500	(\$2,568,880.24)
12 99 5208	(\$99.44)
11 98 0200	(\$3,240.95)

Receiving agencies cannot cancel amounts from transfer appropriation accounts with balances as of September 30th of the fifth fiscal year after the account's availability ends. Receiving agencies must transfer (fiscal 99) funds to the parent account via SF 1151: Non-expenditure Transfer Authorization, for disposition before year end.

The following are examples of transfer appropriation accounts that cannot cancel via 4th quarter FY 2004 FACTS reporting.

TAFS	Pre-closing Balance
15 75 99 8393 001	(\$22,308.18)
75 75 99 8393 001	(\$69,796.98)
57 11 99 1081	(\$967,960.33)